

THE APPLICATION FORM SHOULD BE FILLED IN BLOCK LETTERS WITHIN THE BOXES ONLY



**Please refer to Riskometer details available on cover page of this KIM and Your Guide to fill the Application Form before proceeding.**

Distributor ARN	Sub Distributor ARN	Internal Sub-Broker/Sol ID	EUIN*	Employee Code	PMRN / RIA Code	ISC Date Time Stamp Reference No.
ARN-96134				E106410		

\* Declaration for "Execution only" transaction (only where EUIN box is left blank) ☐ "I/we hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

First / Sole Applicant / Guardian	Second Applicant	Third Applicant	Power of Attorney Holder
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TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS ONLY. In case the subscription amount is ₹ 10,000 or more and your Distributor has opted to receive Transaction Charges, the same are deductible as applicable from the purchase/ subscription amount and payable to the Distributor. Units will be issued against the balance amount invested.

☐ I confirm that I am a first time investor across Mutual Funds-₹150.

☐ I confirm that I am an existing investor in Mutual Funds-₹100.

1. MODE OF HOLDING			2. Existing Folio no:	(If you have an existing folio with KYC validated, please mention here and skip to Investment & Payment section)
<input type="checkbox"/> Single	<input type="checkbox"/> Joint	<input type="checkbox"/> Anyone or Survivor		
UNIT HOLDING OPTION	<input type="checkbox"/> Physical Mode	<input type="checkbox"/> Demat Mode	Depository Participant Name ↓	

Demat Account Details of First / Sole Applicant (Name of First / Sole Applicant as per demat account)			
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Investor willing to invest in Demat option, may provide a copy of the DP Statement enabling us to match the Demat details as stated in the application form.

If the Beneficiary is not listed in the Beneficiary List, the Beneficiary must be added to the Beneficiary List in the application form.					
NSDL	DPID		CDSL	DPID	
	Beneficiary ID			Beneficiary ID	

*Note: Please attach copy of Client Master List.*

[illegible]

### 3. FIRST HOLDER DETAILS

[illegible]Mobile No\*  In case of minor, provide guardian mobile no Email ID\*  Incase of minor, provide guardian email ID 

E-mail ID: ☐ **Self** OR ☐ **Family Member** (Relationship) .....

**\*Mandatory**

Default Communication mode is E-mail only, if you wish to receive following document(s) via physical mode: Please tick (✓) ☐ Account Statement ☐ Annual Report ☐ Other Statutory Information

Note Primary holder(s) / guardian own email address and mobile number should be provided for speed and ease of communication in a convenient and cost effective manner, and to help prevent fraudulent transactions.

[illegible]

## SECOND HOLDER DETAILS

[illegible]

### THIRD HOLDER DETAILS

[illegible]

4. INVESTMENT & PAYMENT DETAILS				Payment Type <input type="checkbox"/> Third Party Payment (Please attach 'Third Party Payment Declaration Form') <input type="checkbox"/> Non Third Party Payment															
Scheme Name		Scheme-1				Scheme-2				Scheme-3									
Plan	<input type="checkbox"/> Regular	<input type="checkbox"/> Direct			<input type="checkbox"/> Regular	<input type="checkbox"/> Direct			<input type="checkbox"/> Regular	<input type="checkbox"/> Direct									
Option	<input type="checkbox"/> <b>Growth</b>	<input type="checkbox"/> <b>Income Distribution cum Capital Withdrawal (IDCW)</b>			<input type="checkbox"/> <b>Growth</b>	<input type="checkbox"/> <b>Income Distribution cum Capital Withdrawal (IDCW)</b>			<input type="checkbox"/> <b>Growth</b>	<input type="checkbox"/> <b>Income Distribution cum Capital Withdrawal (IDCW)</b>									
		<input type="checkbox"/> Payout				<input type="checkbox"/> Payout				<input type="checkbox"/> Payout									
		<input type="checkbox"/> Reinvestment				<input type="checkbox"/> Reinvestment				<input type="checkbox"/> Reinvestment									
		<input type="checkbox"/> Transfer*				<input type="checkbox"/> Transfer*				<input type="checkbox"/> Transfer*									
	IDCW Frequency (For Fixed Income Funds only):				IDCW Frequency (For Fixed Income Funds only):				IDCW Frequency (For Fixed Income Funds only):										
(applicable as per SID & KIM of respective Funds)				(applicable as per SID & KIM of respective Funds)				(applicable as per SID & KIM of respective Funds)											
<b>*Transfer (IDCW) Target Scheme</b>				<b>*Transfer (IDCW) Target Scheme</b>				<b>*Transfer (IDCW) Target Scheme</b>											
<input type="checkbox"/> Regular Growth <input type="checkbox"/> Direct Growth				<input type="checkbox"/> Regular Growth <input type="checkbox"/> Direct Growth				<input type="checkbox"/> Regular Growth <input type="checkbox"/> Direct Growth											
(*If target scheme is not mentioned for Transfer (IDCW), default scheme is "Sundaram Money Fund and sub-option Growth") Any / each correction carried out in selecting the target scheme has to be counter-signed by the investor(s) to make it a valid selection																			
Payment Mode	<input type="checkbox"/> OTM	<input type="checkbox"/> Cheque	<input type="checkbox"/> DD	<input type="checkbox"/> RTGS	<input type="checkbox"/> Fund Transfer	<input type="checkbox"/> OTM	<input type="checkbox"/> Cheque	<input type="checkbox"/> DD	<input type="checkbox"/> RTGS	<input type="checkbox"/> Fund Transfer	<input type="checkbox"/> OTM	<input type="checkbox"/> Cheque	<input type="checkbox"/> DD	<input type="checkbox"/> RTGS	<input type="checkbox"/> Fund Transfer				
Reference No.																			
Amount	Figures:																		
	Words:																		
Account Type	<input type="checkbox"/> Savings	<input type="checkbox"/> NRO	<input type="checkbox"/> NRE	<input type="checkbox"/> Current	<input type="checkbox"/> FCNR	<input type="checkbox"/> Others	Drawn on Bank, Branch												
5. BANK ACCOUNT DETAILS FOR PAYOUT																			
IFSC CODE	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	MICR	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Bank Account No	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Bank Name	Bank Branch																		
Account Type	<input type="checkbox"/> Savings	<input type="checkbox"/> NRO	<input type="checkbox"/> NRE	<input type="checkbox"/> Current	<input type="checkbox"/> FCNR	Others→		Please specify											
6. LEGAL ENTITY IDENTIFIER (Mandatory) - (Only for Non-Individuals including HUF)																			
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
VALIDITY DATE OF LEI	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Address of First / Sole Applicant																			
Town:	City/District:				State:				PIN Code:										
Overseas Address (in case of NRIs/FIIs) (Mandatory)																			
7. Systematic Transaction Registration Details – Please indicate details of your SIP (skip this section if you wish to make a one-time investment)																			
Mode of SIP <input type="checkbox"/> OTM/NACH (please submit SIP Registration Form)												Each SIP Amount ₹ <input type="text"/>							
SIP Period	SIP Starting				<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	End Month/Year (Default Dec 2099): <input type="text"/>						<input type="checkbox"/> Till Further Notice*	
(*The end date – 01/12/2099 as end date. If not specified by the investor. This will be considered in both Online and Physical modes) (refer Guide to investing through SIP)																			
SIP Date	SIP Date: .....(for <b>Monthly</b> : Any Day of the Month; for <b>Quarterly</b> : Any Day of the month for each quarter).																		
SIP Frequency	<input type="checkbox"/> Weekly	<b>For Fixed Income</b>																	
	<input type="checkbox"/> Monthly	• Weekly every Wednesday (For Minimum amount of ₹ 1000, Minimum No. of installments is 5)																	
	<input type="checkbox"/> Quarterly	• Monthly (For Minimum amount of ₹ 250, minimum No. of installments is 20)																	
		• Quarterly (For Minimum amount of ₹ 750, minimum No. of installments is 7)																	
		<b>For Equity</b>																	
		• Weekly every Wednesday (For Minimum amount of ₹ 1000, Minimum No. of installments is 6)																	
		• Monthly (For Minimum amount of ₹ 100, minimum No. of installments is 6)																	
		• Quarterly (For Minimum amount of ₹ 750, minimum No. of installments is 6)																	
Normal STP									SWP										
Source Scheme									Scheme										
Target Scheme																			
Amount (figures)									Amount (figures)										
Amount (words)									Amount (words)										
Frequency									Frequency										
Preferred STP date									Preferred SWP date										
STP Period									SWP Period										
From Date									From Date										
To Date									To Date										

If you do not wish to nominate Tick here ☐

## Sundaram Asset Management

## FATCA-CRS Instructions

**Details under FATCA-CRS/Foreign Tax Laws:** The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income Tax Rules 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with relevant tax authorities/appointed agencies. If you have any questions about your tax residency, please contact your tax advisor. Should there be any **change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days**. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/ tax authorities, we may also be constrained to withhold and pay out any sums from your account or close or suspend your account(s).

**If you are a US citizen or resident or greencard holder, please include United States in the Country of Tax Residence field along with your US Tax Identification Number.** Foreign Account Tax Compliance provisions (commonly known as FATCA) are contained in the US Hire Act 2010.

\$ It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation with supporting documents and attach this to the form.

**10. Declaration:** I/We • having read and understood the contents of the Statement of Additional Information/Scheme Information Document/addenda issued to the SID and KIM till date • hereby apply for units under the scheme(s) as indicated in the application form • agree to abide by the terms, conditions, rules and regulations of the scheme(s) • agree to the terms and conditions for OTM/NACH • have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment • do not have any existing Micro SIPs/investments which together with the current application will result in the total investments exceeding ₹ 50,000 in a financial year or a rolling period of twelve months (applicable for PAN exempt category of investors). The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

**Applicable to NRIs only:** Please (✓) ☐ I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External/Ordinary Account/FCNR Account on a ☐ Repatriation Basis ☐ Non-Repatriation Basis. I/We further declare that I/We am/are not a citizen of USA/Canada.

I/We hereby declare that all the particulars given herein are true, correct and complete to the best of my/our knowledge and belief. I/ We further agree not to hold Sundaram Asset Management, its sponsor, their employees, authorised agents, service providers, representatives of the distributors liable for any consequences/losses/costs/damages in case of any of the above particulars being false, incorrect or incomplete or in case of my/our not intimating/delay in intimating any changes to the above particulars. I/We hereby authorise Sundaram Asset Management to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/us, to any Indian or foreign governmental or statutory or judicial authorities/agencies, the tax/revenue authorities, other investigation agencies and SEBI registered intermediaries without any obligation of advising me/us of the same. I/We hereby agree to provide any additional information/documentation that may be required in connection with this application.




**Certification:** I/We have understood the information requirements of this Form (read along with the FATCA-CRS Instructions) and hereby certify that the information provided by me/us on this Form is true, correct, and complete. I/We also confirm that I/We have read and understood the FATCA-CRS Terms and Conditions and hereby accept the same.

I/We agree to indemnify Sundaram Asset Management Company Limited in respect of any false, misleading, inaccurate and incomplete information regarding my/our "U.S. person" status for U.S. federal income tax purposes. or in respect of any other information as may be required under applicable tax laws.

**Stamp Duty:** Pursuant to Notification No. S.O. 1226(E) and G.S.R. 226(E) dated March 30, 2020 issued by Department of Revenue, Ministry of Finance, Government of India, read with Part I of Chapter IV of The Finance Act, 2019, notified on February 21, 2019 issued by Legislative Department, Ministry of Law and Justice, Government of India, a stamp duty @0.005% of the transaction value of units would be levied on applicable mutual fund inflow transactions, with effect from July 1, 2020. Accordingly, pursuant to levy of stamp duty, the number of units allotted on purchase transactions (including reinvestment IDCW and switch-in) to the Unit holders would be reduced to that extent.

☐ **(Applicable only for investments through RIA) RIA/PMRN Consent Declaration:** I/We, the above-named person/s have invested in the Scheme(s) of Sundaram Mutual Fund under Direct Plan under the above mentioned Account No(s)/Folio No(s).  
I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you, to the below mentioned Mutual Fund Distributor/SEBI-Registered Investment Advisor/Portfolio Manager:

AMFI Registration Number ARN -	SEBI Registration No.
Name:	
Address	
City	
PIN	
E-Mail ID	
Tel.No	

<b>Name of First / Sole Applicant / Guardian</b>	<b>Name of Second Applicant</b>	<b>Name of Third Applicant</b>
 <b>Signature of First / Sole Applicant / Guardian</b>	 <b>Signature of Second Applicant</b>	 <b>Signature of Third Applicant</b>

Particulars				
Scheme Name / Plan / Option / Sub-option	Goal	Cheque / DD / Payment Instrument Number / Date	Drawn on (Name of Bank & Branch)	Amount in figures (₹) & Amount in words
	<input type="checkbox"/> Lumpsum Purchase <input type="checkbox"/> SIP			



SUNDARAM MUTUAL

For office use only

UMRN

Date

DDMMYY

## NACH/OTM Registration

Sponsor Bank Code

CITI000PIGW

☒ Create☐ Modify☐ Cancel

Utility Code

CITI00002000000037

I/We authorise

SUNDARAM MUTUAL FUND

② To debit Tick (✓)

☐ SB ☐ CA ☐ SB-NRE ☐ SB-NRO ☐ Others.....

Bank A/c No

④ With Bank

Name of customers bank

IFSC

or MICR

⑥ An amount of ₹ (in words)

₹

DEBIT TYPE

☒ Fixed Amount☒ Maximum Amount

FREQUENCY

☒ Monthly☒ Quarterly☒ Half Yearly☒ Yearly☒ As & when presented

⑦ Reference 1

URN

Reference 2

Folio No

⑨ Phone No

Email ID

1. I agree for the debit of Mandate processing charges by the Bank whom I am authorizing to debit my account as per latest Schedule of charges of the Bank. 2. This is to confirm that the declaration has been carefully read, understood and made by me/us. I am authorising the user entity/corporate to debit my account, based on the instructions as agreed and signed by me. 3. I have understood that I am authorised to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the user entity/corporate or the bank where I have authorized the debit.

⑪ PERIOD

From DDMMYY

To DDMMYY

or ☒ Until Cancelled

Signature of the Primary Holder

Signature of the Joint Account Holder

Signature of the Joint Account Holder

1 Name as in bank records

2 Name as in bank records

3 Name as in bank records



SUNDARAM MUTUAL

## SIP Registration / Renewal Form / Modification - NACH / One Time Mandate (OTM)

(First time investors should use this form along with the application form)

Please tick ☒ as applicable:☐ NACH/OTM Form is attached and to be registered in the folio. SIP will start after mandate registration which may take 30 days.☐ NACH/OTM Form is already registered in the folio. [No need to submit again].

Distributor's ARN & Name	Sub-broker's ARN (Code)	Sub-broker Code (Internal)	EUIN* (Employee Unique Identification Number)	Registered Investment Adviser (RIA) Code	For Office use only

☐ I/We confirm that the EUIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

Sole/First Applicant's Signature Mandatory

ISC's signature &amp; Time Stamping

Name of First/Sole Applicant Gender\* ☐ Male ☐ Female ☐ Others

Mobile\*

PIN Code\*

Name of Second Applicant Gender\* ☐ Male ☐ Female ☐ Others

Mobile\*

PIN Code\*

Name of Third Applicant Gender\* ☐ Male ☐ Female ☐ Others

Mobile\*

PIN Code\*

E-Mail\*

Existing Investor Folio No.

New Investor Application No.

Permanent Account Number (PAN)\*

PEKRN

Central KYC Number

☐ CKYC Proof attached (Mandatory)

First/Sole Applicant/Guardian

Second Applicant

Third Applicant

1. Bank Name

Cheque No

Dated

2. Bank Name

Cheque No

Dated

Please tick ☒ ☐ SIP Registration ☐ SIP with Top-up Registration ☐ SIP-Change in Bank Details (Please provide copy of cancelled cheque and mention relevant SIP details in the form and OTM mandate.)CKYC compliant ☐ Yes ☐ No (if no, please provide CKYC proof/additional documents if not submitted earlier)

1 Scheme Name Plan: Option:

SIP Installment Amount (₹) Frequency: ☐ Weekly\* ☐ Monthly\* ☐ Quarterly Weekly\*: Only on WednesdaySIP Top Up amount (Optional): ₹ (in multiples of ₹ 500/-) Top-up Frequency\* ☐ Half-yearly ☐ YearlyStart Month/Year: MMYY End Month/Year (Default Dec 2099): MMYY ☐ Till Further Notice

SIP Date: (for Monthly: Any Day of the Month; for Quarterly: Any Day of the month for each quarter (beginning January, April, July and October)).

2 Scheme Name Plan: Option:

SIP Installment Amount (₹) Frequency: ☐ Weekly\* ☐ Monthly\* ☐ Quarterly Weekly\*: Only on WednesdaySIP Top Up amount (Optional): ₹ (in multiples of ₹ 500/-) Top-up Frequency\* ☐ Half-yearly ☐ YearlyStart Month/Year: MMYY End Month/Year (Default Dec 2099): MMYY ☐ Till Further Notice

SIP Date: (for Monthly: Any Day of the Month; for Quarterly: Any Day of the month for each quarter (beginning January, April, July and October)).

This will be considered in both Online and Physical modes (refer Guide to investing through SIP); \*Default frequency; ^Top-up is applicable for SIPs under Monthly &amp; Quarterly Frequencies.

DEMAT Account Details (Investor willing to invest in Demat option, may provide a copy of the DP Statement enabling us to match the Demat details as stated in the application form)		
<input type="checkbox"/> National Securities Depository Ltd.	Depository Participant	
<input type="checkbox"/> Central Depository Services (India)	DP ID Number	Beneficiary Account Number

**Declaration:** I/We having read and understood the contents of the Statement of Additional Information (Scheme Information Document) issued to the SID and KIM till date hereby apply for units under the scheme(s) as indicated in the application form. I agree to abide by the terms, conditions, rules and regulations of the scheme(s). I agree to the terms and conditions for NACH/OTM. I have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I do not have any existing Micro SIPs/investments which together with the current application will result in the total investments exceeding ₹ 50,000 in a financial year or a rolling period of twelve months (applicable for PAN exempt category of investors). The ARN

holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

I/We hereby declare that all the particulars given herein are true, correct and complete to the best of my/our knowledge and belief. I/We further agree not to hold Sundaram Asset Management, its sponsor, their employees, authorised agents, service providers, representatives of the distributors liable for any consequences/losses/costs/damages in case of any of the above

particulars being false, incorrect or incomplete or in case of my/our not intimating/delay in intimating any changes to the above particulars. I/We hereby authorise Sundaram Asset Management to disclose, share, remit in any form, mode or manner, all any of the information provided by me/us, including all changes, updates to such information as and when provided by me/us, to any Indian or foreign governmental or statutory or judicial authorities/agencies, the tax/revenue authorities and other investigation agencies and SEBI registered intermediaries without any obligation of advising me/us of the same. I/We hereby agree to provide any additional information/documentation that may be required in connection with this application.

Signatures

[as per Mutual Fund Records / Application]

First Unit Holder's / Guardian Signature

Second Unit Holder's Signature

Third Unit Holder's Signature



### Following fields need to be filled mandatorily

- |   |  |
|---|--|
| 1. Date: In format DD/MM/YYYY   | 2. Bank A/c Type: Tick the relevant box    |
| 3. Fill Bank Account Number   | 4. Fill name of Destination Bank           |
| 5. IFSC / MICR code: Fill respective code                             | 6. Mention Maximum Amount                  |
| 7. Reference 1: Mention Folio Number                                  | 8. Reference 2: Mention Application Number |
| 9. Telephone Number (Optional)  | 10. Email ID                               |
| 11. Period: Starting date of NACH registration (in format DD/MM/YYYY) | 12. Signature as per bank account          |
13. Name: Mention Holder Name as Per Bank Record

### Other Instructions

- NACH/OTM Bank Mandate can be used for both SIP and Lump Sum Purchase.
- Investors are allowed to perform Lump sum purchase and SIP on a same day provided the NACH/OTM bank account has the adequate funds to honor multiple debits
- NACH/OTM is applicable for both Individual and Non-Individual
- Registration of Multiple NACH/OTM forms is acceptable with different Bank and Accounts.
- Per transaction limit should be less than or equal to the amount as mentioned in NACH/OTM Form already registered or submitted, if not registered

- Investors are required to submit "NACH/OTM" registration first and only after successful registration an existing "NACH" associated with a SIP can be cancelled.
- NACH/OTM request will be accepted only if the "Bank" mentioned in the request form is listed in the NACH banks list. Please contact offices of Sundaram Asset Management Company/ visit [www.sundarammutual.com](http://www.sundarammutual.com) for updated list of banks eligible for NACH/OTM Facility.
- Submitting NACH/OTM form does not confirm your investments in SMF unless supported by SIP Investment Form or Common Transaction forms
- Sundaram Mutual will initiate debit instructions to the investor bank account only on receipt of valid investment instruction from the investor.
- For other Terms and Conditions governing NACH/OTM payments please refer KIM or [www.sundarammutual.com](http://www.sundarammutual.com)
- NACH/OTM is applicable only for investments via debit instructions
- By submitting the NACH/OTM the investor authorizes Sundaram Mutual to utilize the information provided herein for the purpose of his/her investments in Sundaram Mutual Fund, including creation of a folio
- Investors are deemed to have read and understood the requirements and contents of Statement of Additional Information (SAI), Scheme Information Document (SID) and all other scheme related documents

### General Instructions

1. This facility is offered to investors having Bank accounts in select banks (269) mentioned in our application form. The Banks in the list may be modified/updated/ changed/removed at any time in future entirely at the discretion of Sundaram Asset Management Ltd ("SAMC"), Sundaram Trustee Company Ltd. ("Trustee") or Sundaram Mutual Fund ("SMF") without assigning any reasons or prior notice. SIP instructions for investors in such Banks via NACH route will be discontinued.
2. The AMC/ Trustee/ SMF will not be liable for any transaction failures due to rejection by the investors bank/branch.
3. SIP through NACH/OTM Facility is available on all dates of the month. In case these days are non-business days for the scheme, then SIP will be processed on the next business day.
4. The investor agrees to abide by the terms and conditions of NACH facility of NPCI.
5. Investor will not hold AMC / Trustee / SMF and its service providers responsible if the transaction is delayed or not effected by the Investor's Bank or if debited in advance or after the specific SIP date due to various reasons or for any bank charges debited by his banker in his account towards NACH Registration / Cancellation / Rejections.
6. The AMC/ Trustee/ SMF reserves the right to reverse allotments in case the NACH/OTM is rejected by the bank for any reason whatsoever.
7. The AMC/ Trustee/ SMF shall not be responsible and liable for any damages/compensation for any loss, damage etc., incurred by the investor. The investor assumes the entire risk of using the facility of NACH/OTM and takes full responsibility for the same.
8. The AMC/Trustee reserves the right to discontinue or modify the SIP facility at any time in future on a prospective basis.
9. The AMC/ Trustee reserves the right to discontinue the SIP in case of Direct Debit through NACH routes are rejected by the investor bank for any reasons.
10. For scheme related details, please refer to the Scheme Information Document (SID) / Key Information Memorandum (KIM) and the addendum issued from time to time.
11. The AMC/ Trustee reserves the right to reject any application without assigning any reason thereof.
12. SIP cancellation can be done separately by submitting the request at least 21 Business days in advance; however the associated NACH mandate can be retained for future investments.
13. For intimating the change in bank particulars, please use the NACH/OTM Form to modify transaction limit or add / remove banks from the NACH/OTM facility. Also fill-up all the relevant details as applicable. Requests for any changes / cancellation in the NACH Bank Mandate request should be submitted at least 30 Business days in advance.
14. In case of Micro SIPs, please refer to KIM under "your guide to fill the application form" for more details.
15. **SIP Frequency**
  - Weekly every Wednesday (For Minimum amount of ₹ 1000, Minimum No. of installments is 5)
  - Monthly (For Minimum amount of ₹ 100, minimum No. of installments is 20)
  - Quarterly (For Minimum amount of ₹ 750, minimum No. of installments is 7)
16. SIP default date is 7th of every month, if no date is mentioned
17. **Any Day SIP:** Investors can choose any preferred date of the month as SIP debit date. In case the chosen date falls on a non-business day, the SIP will be processed on the immediate next business day. In case chosen date is not available in a particular month, the SIP will be processed on the last business day of the month.
18. **Investors are required to submit SIP request at least 30 days prior to the date of first instalment.**

**The following applications will be considered as 'Not In Good Order' (NIGO) and are liable to be rejected:**

  - If folio number mentioned in the Fresh / Additional Purchase, SIP NACH/OTM form, Switch, STP, SWP & NCT request does not match Folio Number mentioned in NACH/OTM registration mandate Form.
  - If the folio number mentioned in the NACH/OTM mandate registration form does not match with our record, the NACH/OTM mandate will not be registered.
  - If the SIP period mentioned in SIP via NACH/OTM form is beyond the NACH/OTM validity period or NACH/OTM validity period expired.
19. In case of minor application, AMC will register standing instructions till the date of minor attaining majority, though the instructions may be for a period beyond that date. Prior to minor attaining majority, AMC shall send advance notice to the registered correspondence address advising the guardian and the minor to submit an application form along with prescribed documents to change the status of the account to 'major'. The account shall be frozen for operation by the guardian on the day of minor attains the age of majority and no fresh transactions will be permitted till the documents for changing the status are received
20. **Frequency for Top-up SIP**
  - i. **For Monthly SIP**
    - a. Half yearly Top-up SIP under this option, the amount of investment through SIP installment shall be increased by the amount chosen /designated by investor post every 6th (sixth) SIP instalment
    - b. Yearly Top-up SIP under this option, the amount of investment through SIP installment shall be increased by the amount chosen /designated by investor post every 12th (twelfth) SIP instalment
  - ii. **Quarterly SIP**
    - a. Yearly Top-up SIP under this option, the amount of investment through SIP installment shall be increased by the amount chosen /designated by investor post every 4th (fourth) SIP instalment. In case the investor who has registered under Quarterly SIP opts for Half yearly Top-up SIP the same shall be registered and processed as Yearly Top-up SIP.
21. **Stamp Duty:** Pursuant to Notification No. S.O. 1226(E) and G.S.R. 226(E) dated March 30, 2020 issued by Department of Revenue, Ministry of Finance, Government of India, read with Part I of Chapter IV of The Finance Act, 2019, notified on February 21, 2019 issued by Legislative Department, Ministry of Law and Justice, Government of India, a stamp duty @0.005% of the transaction value of units would be levied on applicable mutual fund inflow transactions, with effect from July 1, 2020. Accordingly, pursuant to levy of stamp duty, the number of units allotted on purchase transactions (including dividend reinvestment and switch-in) to the Unit holders would be reduced to that extent.